

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which I claimed and for which a patent is sought on the invention entitled

AFTERCOOLER BYPASS MEANS FOR A LOCOMOTIVE COMPRESSED AIR SYSTEM
the specification of which

(Check one
of blocks
1, 2, or 3.)

1. ☐ is attached hereto.
2. ☐ was filed on _____ as
International PCT Application Serial No. _____
and was amended on _____
(if applicable)
3. ☒ was filed on July 21, 1997 as
U.S. Application Serial No. 08/397,277
and was amended on N/A
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications.)	_____	_____	_____	[] Yes [] No
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	[] Yes [] N
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	[] Yes [] N
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	[] Yes [] N
	(Number)	(Country)	(Day/Month/Year Filed)	

[] See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(List Prior U.S.	_____	_____	_____
(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)	
(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)	

And I hereby appoint as principal attorneys and agents, James O. Ray, Jr., Reg. No. 27,666;
Forest C. Sexton, Reg. No. 22,054; Edmond S. Miksch Reg. No. 38,558; James R. Stevenson Reg. No. 38,755;
John B. Sotak, Reg. No. 20,529 and Gary J. Falce, Reg. No. 29,304; Elroy Strickland Reg. No. 22,546

Please direct all communications to the following address:

JAMES RAY & ASSOCIATES
300 PENN CENTER BOULEVARD
SUITE 608
PITTSBURGH, PA 15235

TELEPHONE: (412) 823-4380

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issue thereon.

Full name of sole or first inventor Walter E. Goettel

Inventor's signature Walter E. Goettel

1-12-98
Date

Residence RD #3 Box 481, Monogahela, PA 15063

Citizenship United States of America

Post Office Address RD #3 box 481, Monogahela, PA 15063

Full name of second joint inventor, if any Brian L. Cunkelman

Inventor's signature [Signature]

1-12-98

Date

Residence RD #2 Box 351, Blairsville, PA 15717

Citizenship United States of America

Post Office Address RD #2 Box 351, Blairsville, PA 15717

Full name of third joint inventor, if any Daniel G. Wagner

Inventor's signature X [Signature]

1-12-98

Date

Residence 107 West Genessee Street, Pittsburgh, PA 15223

Citizenship United States of America

Post Office Address 107 West Genessee Street, Pittsburgh, PA 15223

Full name of fourth joint inventor, if any Roger Drummond

Inventor's signature [Signature]

1-12-98

Date

Residence 10 Pike Street, Hermine, PA 15637

Citizenship United States of America

Post Office Address 10 Pike Street, Hermine, PA 15637

Full name of fifth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of sixth joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

Post Office Address _____

Full name of seventh joint inventor, if any _____

Inventor's signature _____

Date

Residence _____

Citizenship _____

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Walter E. Goettel
Brian L. Cunkelman
Daniel G. Wagner
Roger Drummond

Additional name(s) & address(es) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: WESTINGHOUSE AIR BRAKE CO.

Internal Address: _____

Street Address: AIR BRAKE AVENUE

City: WILMERDING State: PA ZIP: 15235

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1/12/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s): 08/897,277

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed.

Name of Agent: James O. Ray, Jr.

Name: JAMES RAY & ASSOCIATES

Internal Address: SUITE 608

Street Address: 300 PENN CENTER BOULEVARD

City: PITTSBURGH State: PA ZIP: 15235

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James O. Ray, Jr.
Name of Person Signing


Signature

January 21, 1998
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) Walter E. Goettel, Brian L. Cunkelman, Daniel G. Wagner, Roger Drummond (hereinafter ASSIGNORS) by

WESTINGHOUSE AIR BRAKE COMPANY

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

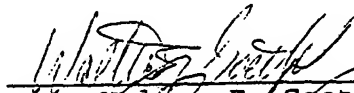
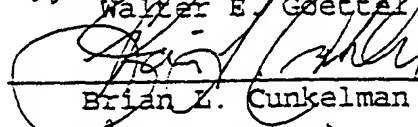
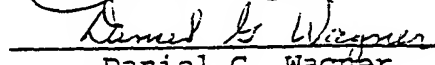
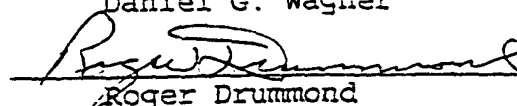
AFTERCOOLER BYPASS MEANS FOR A
LOCOMOTIVE COMPRESSED AIR SYSTEM

for which application for Letters Patent of the United States was executed on even date herewith, and all Letters Patent of the United States and corresponding Foreign patents to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

THE ASSIGNORS agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

THE ASSIGNORS agree(s) to execute all papers necessary in connection with any interference or litigation which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereof and to cooperation with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference or litigation.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

 Walter E. Goettel	<u>1-12-98</u> Date
 Brian L. Cunkelman	<u>1-12-98</u> Date
 Daniel G. Wagner	<u>1-12-98</u> Date
 Roger Drummond	<u>1-12-98</u> Date